

**Workshop on Ethics in Finance and Investment:
Opportunities, Positive Impacts and Sustainable Innovation**
Goethe University Frankfurt
Friday, 2 October 2015

Organizers:

Prof. Dr. Rainer Klump and Dr. Manuel Wörsdörfer, Cluster of Excellence 'The Formation of Normative Orders', Goethe University Frankfurt.

In cooperation with Karen Wendt (Editor Responsible Investmentbanking)

Accommodation: Turm Hotel, Eschersheimer Landstr. 20, 60322 Frankfurt
(www.turmhotel-fra.de/)

Structure: Three presentation modules plus one panel discussion; two or three presentations per panel (ca. 20 min.) + discussion (ca. 30 min); practitioner panel discussion and wrap-up workshop in the afternoon.

Friday, 2 October 2015

Until 9:00 a.m. Arrival of Guests, Registration and Coffee

9:00 – 9:15 a.m. **Welcome Address and Introductory Remarks**
Prof. Dr. Rainer Klump (President of the University of Luxembourg and Associate Member of the Cluster of Excellence)

9:15 – 9:45 a.m. **Keynote: Positive Impact Investing, SDGs and the Changing Finance Industry**
Karen Wendt (Responsible Investmentbanking)

Part I: (Political) CSR and Corporate Citizenship in the Finance Industry (Chair: Dr. Manuel Wörsdörfer)

9:45 – 10:45 a.m. **Two Kinds of Professional Ethos**
Dr. Lisa Herzog (Goethe University Frankfurt)

The Treasures of Stakeholder Engagement
Joop Remmé (Maastricht School of Management)

The Equator Principles and the Business and Human Rights Debate – Hype or Hope?
Dr. Manuel Wörsdörfer (Goethe University Frankfurt)

10:45 – 11:15 a.m. Questions from the floor

11:15 – 11:30 a.m. Coffee Break

Part II: Going Beyond Due Diligence and Negative Impacts (Chair: Karen Wendt)

- 11:30 –12:30 p.m. **Markowicz Revisited: Social Portfolio Engineering**
Prof. Dr. Margarethe Rammerstorfer (MODUL University Vienna)
The Transition Economy, Community Supported Enterprises and Local Economic Resilience
Jay Tompt (Local Economic Resilience Transition Network)
Social Banking and Social Finance
Dr. Sven Remer (Institute for Social Banking)
- 12:30 – 1:00 p.m. Questions from the floor
- 1:00 – 2:15 p.m. **Lunch (Restaurant: Sturm und Drang)**

Part III: The Social Reform of Corporate Culture (Chair: Dr. Manuel Wörsdörfer)

- 2:15 – 3:15 p.m. **Fixing Finance 2.0: Cultural Reform through Regulation?**
Prof. Dr. Cynthia Williams (York University)
Banking and Morality: The Problem of Disembeddedness
Dr. des. Claudia Czingon (Goethe University Frankfurt)
Ethics in Education: The long Term Business Effects of an Ethics Program at a College in Ghana
Dr. Mary Godwyn (Babson College)
- 3:15 – 3:45 p.m. Questions from the Floor
- 3:45 – 4:00 p.m. Coffee Break

Part IV: Social & Green Profits– Is Impact Investing a Game Changer?

- 4:00 – 4:15 p.m. **Key Note: The Role of Investment Managers in Transforming Economies** Stephan Blohm; (MEDIAN SERVICES GmbH)
- 4:15 - 5:15 p.m. **Panel Discussion (Chair: Karen Wendt)**
Frank Damerow (Climate Bonds Initiatives)
Jay Tompt (Local Economic Resilience Transition Network)
Florian Sommer (Union Investment)
Markus Hill (Independent Asset Management Consultant)
Stephan Blohm; (MEDIAN SERVICES GmbH)
- 5:15– 5:30 p.m. Wrap Up and Conclusions